### UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

STEPHEN McCOLLUM, and SANDRA	§	
McCOLLUM, individually, and STEPHANIE	§	
KINGREY, individually and as independent	§	
administrator of the Estate of LARRY GENE	§	
McCOLLUM,	§	
PLAINTIFFS	§	
	§	
V.	§	CIVIL ACTION NO.
	§	4:14-cv-3253
	§	JURY DEMAND
BRAD LIVINGSTON, JEFF PRINGLE,	§	
RICHARD CLARK, KAREN TATE,	§	
SANDREA SANDERS, ROBERT EASON, the	§	
UNIVERSITY OF TEXAS MEDICAL	§	
BRANCH and the TEXAS DEPARTMENT OF	§	
CRIMINAL JUSTICE.	§	
DEFENDANTS	§	

Plaintiffs' Consolidated Summary Judgment Response Appendix

## **EXHIBIT 184**

THE STATE OF TEXAS COUNTY OF WALKER

BEFORE ME, the undersigned authority, personally appeared Martha Knickerbocker, known to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that she executed the same for the purposes and consideration therein expressed.

My name is, Martha Knickerbocker, I am over twenty-one years of age, of sound mind, capable of making this Affidavit and personally acquainted with the facts herein stated.

I am employed as Secretary III for the Emergency Action Center of the Texas Department of Criminal Justice, Administrative Review & Risk Management Division and my office is located in <u>Huntsville</u>, Texas. I do hereby certify that I am the custodian of records maintained in the regular course of business of the <u>Emergency Action Center</u> of the Texas Department of Criminal Justice, Administrative Review & Risk Management Division. I have reviewed business records within the file on reports and/or documentation involving <u>Finke Jr., Charles Edward TDCJ# 791418</u>. I certify that the attached copies of Incident Number I-08587-08-99 from the <u>Emergency Action Center</u> are true, complete and correct copies of the original records now on file in my office and in my custody.

I further certify that the records attached hereto are maintained in the usual and regular course of business of the Emergency Action Center, of the Texas Department of Criminal Justice, Administrative Review & Risk Management Division, and that such records are maintained on each and every inmate confined here. All memoranda, reports, records or data compilations kept therein are made at or near the time by, or from information transmitted by, a person with knowledge of the events, acts, conditions, opinions, or diagnosis described. These records are kept in the course of regularly conducted business activity, and it is the regular practice of this institution to make such memoranda, report, records, or data compilations.

In witness whereof, I have hereto set my hand this 23rd day of May 2003.

BARBARA SAPP
NOTARY PUBLIC
STATE OF TEXAS
My Commission Expires 12-18-2000

Martha Knickerbocker

Texas Department of Criminal Justice Administrative Review & Risk Management

Barbara Sapp

SWORN TO AND SUBSCRIBED BEFORE ME, by the said Martha Knickerbocker, on this the 23rd day of May, 2003, to certify which witness my hand and seal of office.

Patient Account: 20003386-909

Med. Rec. No.: (0150)9012314

Patient Name: FINKE JR., CHARLES EDWARD Age: 38 YRS DOB: Sex: M Race: C

Admining Dr.: ARONSON MD, JUDITH F Astending Dr.:

Date I Time Admisted: Copies to:

08/09/99 0827

UTMB

University of Texas Medical Branch

Galveston, Texas 77555-0543

(409) 772-1238

Fax (409) 772-5683

Pathology Report

7-8587-08-99 FINAL AUTOPSY REPORT

Autopsy Office (409)772-2858

Autopsy No.: AU-99-00224

**AUTOPSY INFORMATION:** 

Residence: HUNTSVILLE UNIT Birthplace: UNKNOWN Occupation: INMATE Date/Time of Death: 08/08/99 12:36 Date/Time of Autopsy: 08/09/99 11:00

Service: OUTSIDE TDCJ Pathologist/Resident: ARONSON/HAO

Restriction: NONE

FINAL AUTOPSY DIAGNOSIS

Body as a whole: History of hyperthermia and sudden unexpected **C1** death. Lungs, bilateral: Congestion, edema, and multifocal hemorrhage A1 Α. A3 Heart, epicardium: Multiple petechiae Blood: Myoglobinemia, consistent with rhabdomyolysis В. Al Kidneys: Pigmented tubular casts, consistent with acute A1 tubular necrosis A2 Brain: Mild adema Brain: History of major depressive disorder, treated with C3 phenothiazine and tricyclic antidepressants Other findings: II. **A**5 Testis, right: Seminoma (3 x 2.5 cm) Α. **A**5 Kidney, right: Hydronephrosis, mild

CAUSE OF DEATH: Heat stroke MANNER OF DEATH: Accident

#7914/8 W/M/38/MH

\*\*\*TYPE: Anatomic(A) or Clinical(C) Diagnosis. IMPORTANCE: 1-immediate cause of death (COD); 2-underlying COD; 3-contributory COD; 4-concomitant, significant; 5-incidental \*\*\*

Patient Name:

FINKE JR., CHARLES EDW.

Patient Location: AUTOPSY

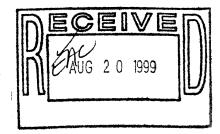
Room/Red:

Primed Date / Time: 09/21/99 - 0930

Page: 1

Continued....

## ADMINISTRATIVE INCIDENT REVIEW



Incident Number: I-8587-08-99

### TEXAS DEPARTMENT OF CRIMINAL JUSTICE HUNTSVILLE UNIT August 12, 1999

To: Emergency Action Center

Thru: Mr. L. Jenkins, Region I Director

Subject: Offender Death (August 8, 1999/ Offender Finke, Charles Edward Jr. #791418)

Persons Involved:

Employee Witnesses:

Lt. Dennis Maywald Officer Tommie Johnson COIII Officer L. Chenevert COIII Officer Thomas Babcock COIII Mary Page, R.N.

Offender Witnesses:

Davis, Campbell #822537 Spiller, Brian L. #877914 Hedt, Jeffrey #612376 McDonald, Cory #803796

Offender:

Offender Finke, Charles Edward Jr. TDCJ-ID #791418 was a thirty-eight year old white male serving a (5) five year for Theft over \$1500 - \$20,000 (1) one count from Travis Co. Offender Finke was transferred from the TDCJ-ID Montford Unit on August 5, 1999 to the TDCJ-ID Robertson Unit and then transferred to the TDCJ-ID Huntsville Unit on August 6, 1999 for Mandatory Supervision Release on August 9, 1999.

Administrative Incident Review Huntsville Unit Incident Number I-8587-08-99 Page 2 of 3

#### Summary:

On August 8, 1999 at approximately 11:45 a.m. in 31A10, Officer Tommie Johnson COIII found a cell located in Five Building, a white male age thirty-eight years of age unresponsive in this cell. The offender was Finke, Charles Edward Jr. #791418. Officer Johnson stated he was getting the offenders ready for chow at approximately 11:30 a.m. and notified Offender Finke to get ready for chow. Officer Johnson stated Offender Finke sat up on his bed and began putting his clothes on. Officer Johnson stated he went back a few minutes later and found the offender laying on his back with his feet hanging off of the side of the bed. Officer Johnson instructed the offender to get up several times and there was no response. Officer Johnson looked at the offender and determined that medical personnel should be contacted. Medical personnel, Nurse Mary Page, R.N., arrived at 31A10 and found Offender Finke with no pulse and not breathing. Ms. Page instructed Lt. Dennis Maywald and Officer Tommie Johnson to remove the offender from the bed and place him on the floor outside of the cell (31A10) so that CPR could be initiated. Ms. Page and Lt. Maywald began CPR and continued it until additional help arrived to transport Offender Finke to the Huntsville Unit Infirmary. Offender Finke was taken into the infirmary, via gurney, at approximately 12:15 p.m. CPR was continued by medical staff in the infirmary and the Huntsville EMS was contacted by the use of 911. Huntsville EMS arrived at approximately 12:25 p.m. and assisted in performing CPR on the offender. CPR was continued on Offender Finke until approximately 12:35 p.m. at which time it was determined by Dr. Carolyn Galloway to cease CPR and the offender was pronounced dead at 12:36 p.m. by Dr. Galloway.

At approximately 1:15 p.m. Judge Amy Bradley arrived on the unit to perform the inquest on the offender. Mr. Mark Cole, IAD investigator, arrived on the unit at approximately 1:20 p.m. and conducted his investigation. Once Judge Bradley and Mr. Cole completed the investigations, the preliminary findings could not determine the cause of death. Judge Bradley and Mr. Cole determined that foul play was not present in the death of Offender Finke. The Huntsville Funeral Home was contacted and arrived and assumed custody of the body at approximately 3:10 p.m.

Chaplain Ken Houston (see attached) contacted offender Finke's family. Chaplain Houston contacted the offender's father, Charles Finke, who resides at The father did not confirm, at the time of notification, if he would claim the body or not.

At this time an autopsy is being conducted to determine the exact cause of death and the exact time of death. It should be noted that a air flow test was conducted on cell 31A10 to determine the exact amount of exhaust air flow (see attached). Also, a temperature check of the cell temperature was conducted for a (24) twenty-four hour period in cell 31A10 to determine the fluctuation of the cell temperature (see attached). The total exhaust air flow of cell 31A10 was 218 cubic feet per minute and the average temperature in the cell was 90 degrees. Due to the temperature of the cell and the amount of air flow, the medical examiner ruled out heat stroke as the cause of death. The final determination of the cause of death of Offender Finke has still not been determined as of this date. A complete autopsy report should be completed by August 16, 1999. A copy of the autopsy will be forwarded to your office along with the exact cause of death. All other documentation is attached.

Administrative Incident Review Huntsville Unit Incident Number I-8587-08-99 Offender Finke, Charles Edward Jr. #791418

#### Attachments:

IOC by Captain Kenneth Dean S-3 Supervisor
EAC message concerning offender death
Offender Death form
TDCJ Autopsy Order
TDCJ Transport Order Form
Order for Autopsy (Judge Bradley)
Copy of Infirmary LogBook (noting time of arrival in the infirmary)
Offender Death Notification Worksheet (3) submitted by Chaplain Houston
Witness Statements (9)
Air Flow Test Results of Cell 31A10 submitted by Doug Odom
Temperature Check Log of cell 31A10 submitted by Capt. Kenneth Dean

#### Employee Action/ Inaction:

At this time it has been determined that employee inaction did not contribute to the death of Offender Finke and no corrective action is necessary.

Warden's/ Administrative Supervisor's Comments:	
Signature Signature	Date 13, 1999
Regional Director's/ Assistant Director's Comments:	
2	
Z Di	8-16-59
Signature	Date

Texas Department of Criminal Justice INSTITUTIONAL DIVISION

### **Inter-Office Communications**

То	Warden J. Willett	Date	August 8, 1999
	V Our	Subject	or
From	Capt K Dean - Third Shift	Subject	Offender Death 1-8587-08-99 RE: Finke, Charles Edward Jr. #791418

On August 8, 1999 at approximately 11:45am offender Finke, Charles Edward Jr. #791418 was discovered in Five Bldg (31A10) unresponsive. Medical personnel and supervisors were called to the location of the offender. Upon arrival it was discovered that offender Finke did not have a pulse and was not breathing. Ms. Page, R.N., instructed officer Babcock, officer Johnson and Lt. Maywald to remove the offender from his bunk and place him on a backboard so that CPR could be initiated. Ms. Page started CPR and the offender was taken to the HUI via gurney as soon as it was practical. The offender arrived in the infirmary at approximately 12:15pm and Walker Co. EMS was contacted. Upon the arrival of EMS they began assisting the nursing staff with CPR on the offender. The CPR on offender Finke was ceased at approximately 12:35pm when Dr. Carolyn Galloway pronounced the time of death. The official time of death was 12:36 pm. The preliminary cause of death could not be determined, an autopsy is pending this information. Judge Amy Bradley arrived on the unit at approximately 1:15pm and performed the inquest on the offender. Mr. Mark Cole, IAD investigator, arrived at approximately 1:20 pm and performed his duties. Once the investigations were completed by Judge Bradley and Mr. Cole, the Huntsville Funeral Home was contacted at approximately 2:45 pm. All documentation was completed and the funeral home departed the unit at approximately 3:10pm. The offender's property was inventoried and placed in the Warden's Office. Chaplain Houston contacted the offender's father at approximately 5:20pm and notified him concerning his son's death. The final disposition of offender Finke's body has not yet been determined. All other documentation is attached.

Cc: Warden Willett
Warden New
Shift Supervisors
Mrs. Griggs
Chaplain
File

MESSAGE ID: 693943 DATE: 08/08/99 TIME: 06:19pm PRIORITY: 000

SUBJECT: OFFENDER DEATH

ON AUGUST 8, 1999 AT APPROXIMATELY 11:45 A.M. OFFENDER FINKE, CHARLES EDWARD JR. #791418 WAS DISCOVERED IN FIVE BLDG. (31A10) UNRESPONSIVE. MEDICAL PERSONNEL AND SUPERVISORS WERE CALLED TO THE LOCATION OF THE OFFENDER. UPON ARRIVAL IT WAS DISCOVERED THAT OFFENDER FINKE DID NOT HAVE A PULSE AND WAS NOT BREATHING. MS. PAGE, R.N., INSTRUCTED OFFICERS TO REMOVE THE OFFENDER FROM HIS BUNK AND PLACE HIM ON A BACKBOARD SO THAT CPR COULD BE INITIATED, MS. PAGE STARTED CPR AND THE OFFENDER WAS TAKEN TO THE HUI VIA GURNEY AS SOON AS IT WAS PRATICAL. THE OFFENDER ARRIVED IN THE INFIRMARY AT APPROXIMATELY 12:15 P.M. AND WALKER CO EMS WAS CONTACTED. UPON THE ARRIVAL OF EMS THEY BEGAN ASSISTING THE NURSING STAFF WITH CPR ON THE OFFENDER. THE CPR ON THE OFFENDER WAS CEASED AT APPROXIMATELY 12:35 P.M. WHEN DR. CAROLYN GALLOWAY PRONOUNCED THE TIME OF DEATH. THE OFFICIAL TIME OF DEATH WAS 12:36 P.M. THE PRELIMINARY CAUSE OF DEATH COULD NOT BE DETERMINED. AN AUTOPSY IS PENDING THE EXACT CAUSE OF DEATH. JUDGE AMY BRADLEY ARRIVED ON THE UNIT AT APPROXIMATELY 1:15 P.M. AND PERFORMED THE INQUEST ON THE OFFENDER. IAD, MARK COLE, ARRIVED AT APPROXIMATELY 1:20 P.M. AND PERFORMED HIS DUTIES. ONCE THE INVESTIGATIONS WERE COMPLETED BY THE JUDGE AND IAD THE HUNTSVILLE FUNERAL HOME WAS CONTACTED. ALL DOCUMENTATION WAS COMPLETED AND THE HUNTSVILLE FUNERAL HOME DEPARTED THE UNIT AT APPROXIMATELY 3:10 P.M. WITH THE OFFENDER'S BODY. THE OFFENDER'S FATHER, MR. CHARLES FINKE, WAS NOTIFIED BY CHAPLAIN HOUSTON AT APPROXIMATELY 5:20 P.M. OF THE OFFENDER'S DEATH. THE FINAL DISPOSITION OF THE BODY HAS NOT BE DETERMINED AT THIS TIME.

Sent to:	HQEAC01	EAC/USE OF FORCE	(to)
	JGR0110	GRIGGS, JANNA	(to)
	JWI7658	WILLETT, JIM W.	(to)
	TNE5412	NEW, TIMOTHY J.	(to)
	JWA7159	WARNER, JULIE	(to)
	LBR3466	BRILEY, LINDA	(to)



## OFFENDER DEATH

FACILITY: HUNTSVILLE Date: 8-8-99 Time: 12:58 P.M.
Deceased Offender's Name: FINKE, CHARLES E. JR. TDCJ#: 791418
Custody: SATIII. MH Race: WHITE SexMF: MALE
Date and time deceased was found: AUGUST 8, 1999 - APPROXIMATELY 11:45 A.M.
Location of deceased: FIVE BUILDING (31A1D)
Cause of Death: (Preliminary cause): <u>UNKNOWN</u> (PENDING AUTDPSY)
Was deceased receiving medical treatment at the time of death? Yes No
Name of doctor who pronounced offender dead: DR. CAROLYN GALLOWAY
Official date and time pronounced dead by Doctor: AUGUST 8, 1999 - 12:36 p.m.
Name of Justice of the Peace who ordered inquest:
Is foul play suspected in the death of the offender? Yes No
Has IAD investigator been notified? Yes No
Has family been notified? Yes No
Name of family member notified: CHARLES FINKE (FATHER)
Has Huntsville Funeral Home been notified? Yes X No
Has Classification and Treatment been notified? Yes No
CAPT. KENNETH DEAN  Name of Person Reporting Incident  LAURIE SMART  Person Notified in EAC/Switchboard

Page 16 of 21

AD-03.29 (rev. 3) Attachment B Page 12 of 20

## TDCJ AUTOPSY ORDER

In accordance with Section 501.055 of the Government Code, the following Order shall serve as authorization from the TDCJ HUNTSVILLE Facility, to perform an autopsy on the body of the Offender named below.
CHARLES EDWARD FINKE JR.
Acting in my capacity as an authorized official of the Texas Department of Criminal Justice, I hereby order and decree that an autopsy be performed on the body of one CHARLES FINKE JR., a WHITE / MALE, approximately 38 Years of age, Date of Birth Said Autopsy should be performed to determine the cause of death of the offender who died of natural causes while attended by a physician or register nurse. The deceased was pronounced dead at 12:36 PM. on this 8 Day of 1999.
Said autopsy should include a determination of the cause of death and toxicological examinations of the urine, blood and other bodily matter as deemed necessary to determine types and amounts of alcohol or drugs if any are present in h Body. I further order that said autopsy be performed by the UTMB Autopsy Service Physicians and/or associates.
Further, said body shall be transported to GALVESTON (UTMB) By a representative of HUNTSVILLE Texas, Phone Number (409) 295-6363, or an associate of said Funeral Home. Upon completion of the said autopsy, the body should be relinquished to a representative of HUNTSVILLE FUNERAL HOME, for transportation back to said city.
It is understood that due care shall be taken to avoid unnecessary disfigurement of the body.
Please forward copy of preliminary findings and reports to:
TDCJ Death Records Technician, 3009 FWV 37-3638
Date of Report: 8-8-99 Time: 2:15 P.M.
Signed on this the Sth Day of August, 1999.  Facility Warden/Administrator (or designee)
County WALKER  City Huntsville, Texas Zip Code 77340

AD-03.29 (rev. 3) Attachment A Page 11 of 20

# TDCJ TRANSPORT ORDER FORM

The undersigned authorizes and directs Huntsville make the removal of the said remains of	on), who died on <u>8-8-99</u> arden/Facility Administrator of the facili	, is
This policy applies to any TDCJ offender deat natural cause by a certified medical physician.		e from
Signature of Warden/Facility Administrator Designee	Timo+HY J. NEW Printed Name	-
Redical Physician's/Registered Nurse's Signature	Printed Name	
Address of Physician/Registered Nurse:		
P.O. BOX 99 HUNTSVILLE, TX	: 	



Amy Bradley Justice of the Peace Precinct 2

ORDER FOR AUTOPSY

I, ANY BRAOLEY, JUSTICE OF THE PEACE, PCT. TWO, WALKER COUNTY, TEXAS HEREBY ORD THE UTMB - GALVESTON OR ONE OF HIS DESIGNATED ASSISTANT MEDICAL EXAMINERS TO PERFORM AN AUTOPSY ON THE BODY OF TOUR E. TIMES
WHO DIED ON 8-8-99 IN HUNTSVILLE WÄLKER COUNTY TEXAS.
IT IS UNDERSTOOD THAT DUE CARE WILL BE TAKEN TO AVOID UNNECESSARY DISFIGURATION OF THE BODY.
DATED THIS 8 DAY OF Curcust 1999 AT HUNTSVILLE IN THE STATE OF TEXAS.

ANY BRADLEY JUSTICE OF THE PEACE PCT. TWO

WALKER COUNTY, TEXAS

AUTO-NE.AMY

1 Thm 56.

Charletti, The

105/121-1517

Plaintiff MSJ Appx. 3078 22 409/436-4980 TDCJ 80706

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AD-54 Rev. 8-18-87

Plaintiffs' MSJ Appx. 3079

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Policy Number: 15.13 Page: 2 of 3

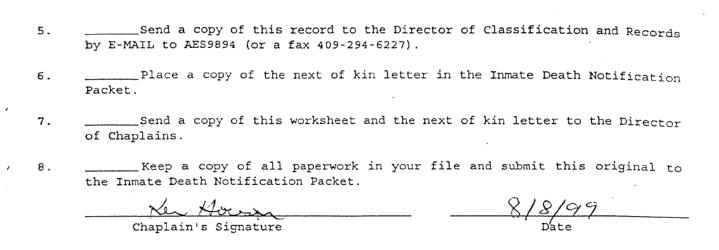
## TEXAS DEPARTMENT OF CRIMINAL JUSTICE

## **Inter-Office Communications**

To:	Warden Willet Da	ite: 8/8/99
From:	Ken Houston Su	bject: INMATE DEATH NOTIFICATION WORKSHEET
1.	INMATE INFORMATION	
	NAME: Findse Charles E	word Du.
	TDCJ #: 79/4/8	NIT ASSIGNED: Huntaville
	CAUSE OF DEATH:	i
	date of death: $8/8/99$	TIME OF DEATH: 12:36
	PLACE OF DEATH (Unit/Hospital):	•
		Judge any Bradley
2.	FAMILY CONTACT	Gudge any Bradley
	DATE: 8/8/99TIME:_	13:50
	Contacted listed next of kin.	
	Contacted a relative/friend fro	m visiting list or correspondence.
	Contacted	Sheriff's Office/Police Dept.
	Name: Office Tracez	Date: 8/8/99 Time: 13:50
3.	PERSON CONTACTED	
	Name: Charles 7 cube	Relationship: Farker
	Address/City/ST/Zip:	
	Area code and Telephone Number:	
4.		notify TDC3 if and claim
	The family will claim the body	. (The family was instituted to continue
	the Huntsville Funeral Home at 409-295	
	a fay (409-294-2736) or Western Unio	body. (The family was instructed to send n telegram #62075975 to Warden Morris M.
	Jones, Jr. with the following message:	m requesting that he/she be buried in the
	prison cemetery." Name, address, inmate should be included in the fax of	telephone number and relationship to the
	nage) Send E-MAIL to HVUNT13 (Or	member or friend (detail efforts on next a fax 409-294-2736) to Warden Morris M urial recommended in the prison cemetery!

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Page: 3 of 3



Chaplan votified at 12:35

attempted to contact brother, vaman 7 inte at helt musage an machine to contact Cheglain Houter at 13,61 instacted directory assistance + no phase of about for various Finh 13:50 contacted ventura Police Dept, spelse with officer Trans The arked her of she could contact family. I we dem the street adder shown a growlland, 14:20 Office to Trang from the venture Police Dept Called I rend varman Fishe i'c larger lived at that address + They had no fordwarden address. 5.30 Found out he was purchang to David forez in autin, colled he had no information on 112. Further 16:00 Called infained in far Charles Fulle, father, got to for Edward Finhe Computer say has been discount to 10 called for Excite, Brendy Brond Al do Brance dies not know Charles Finhe. 6:13 Called Info for 40 - Plaintiffs' MSJ Appx. 3081 AZ, got TDCJ 80709

Case 4:14-cv-03253 Document 302-15 Filed on 09/08/16 in TXSD Page 16 of 34

6:10 Called about Alip gath metty X wife

Called Branda Brower + gare in the Hy Dad.

7:20 Called Dad at

A m about during body.



# Texas Department Of Criminal Justice INTERNAL AFFAIRS DIVISION

VOLUNTARY STATEMENT OF TOMMIE LI SANSON
GIVEN THIS 8 DAY OF AUQUST 8, 19 99
at 1130 o'clock A M. My name is Tommieh. John Son.
My address and telephone number are 101 mutt voun a Rosa Huntsville Tors
27340 4092916163
SUNDAY 1/30 AM I OFFICER T. Thysoco3 Was assigned to 31-Hand-B, OFFICER Chenevert
assigned to 31-Hand-B, OFFICET Chenevert
Was Working 31-Hand-B Kovs - OFFI COT Chenovert
told me of Ficer Whason get all the inmates
READY FOR Chow, FOFFICER T. Johnson Went
to 31-A-10 Cehh Immate Charles, Finkie, 79/4/8
toget Ready For Chow. Trumate Finkie Started
toget Ready and dress putting his Chotheson. For Chowle FIFTER 2 or 3 minutes I went back
For Chowle After 2 or 3 minutes I went back
to inmate Finkie Cell. The inmate was
Laying back against the wall on his bunk.
I toha the Inmate to get up sort times
he did nicht MIDIE & LAND OFFICE TABLE
to Cahl medical we have one down.
WITNESSES: PERSON MAKING STATEMENT:
Dennis Maywald, St. Semmiel Johnson
Signauce
Don of Dages
Page of Pages



# Texas Department Of Criminal Justice INTERNAL AFFAIRS DIVISION

VOLUNTARY STATEMENT OF	August	_, 199 9
at 10:20 o'clock A M. My name is _	IVIN DEWNIS MAYN	iald
My address and telephone number are Han	toville Unit	
,		
BU 8-8-99, AT APPROX. 11:45	AM I bEARD A P	adio and
that we had AN OFFEINDED	R down in 31A Par	,
Ms. PAGE AN WAS RESPONDING	and I joined his	0 05 515
CAME ACROSS the yard with	LA GURNAY. WE FOR	itroud the
Callblock and want to	CAN 31A-10. Was 15	Wall Dark
DFFENDER LAYING BACK ACK	2055 his bunk with	his Front
ON the FLOOR And his has	docainst the wall.	Ms Pass
FOUND THE DEFENDER to BE	NON-RESPONSIVE AND	had wa
pulse And WAS NOT BREATH	us. She told me A	Nd DEFINE
T. JOHNSON TO MOVE THE OF	FENDER out of the	1. Ell 50
CPR could be administered	d. Tix OFFENDER W	AS DIAGES
ON A BACK board and cap	prized outside the o	will And
placed on the Floor. My	. PAGE AND I AKEN	W CAR
AND other OFFicers bolow	EN CARRY THE OFFE	wden out
OFF the Building And pla	and on the gurnay,	WE RUSH
to HUT (K-R) WHERE Ad	ditional sandiens to	REATMENT
DESAH AND 911 WAS CALL	Ed. Sim	
J. D. March	1	
	_	
WITH FRANCE		
/ITNESSES:	PERSON MAKING STATEMENT	Γ:
The land	A. M.	00
The condition	Signature May	ald_
	()	
	Paga	/ of 1 Pages

REPORT NUMBER:	FACILITY:
STANDARD WITNESS S	
Provide a description of the incident that occurred in (I on (date) august 8,1999 that you witnessed.	ocation) 3 BLDG
Make sure to include:  a. Time (or approximate time) the incident b. Name and TDCJ Number of each offend c. Name and rank of each TDCJ employee d. In as much detail as possible, explain when	ler involved. involved.
I OFFICER L. CHENEVERT	COTTL ON AUGUST 8
1999 APPROX. 11:30 Am W.	HILE WORKING THE
HALLWAY OF THE FINE .	BUILDING BOTTOM AREA.
I WAS ALFRIED TO AN IN	MATE DOWN IN HIS
CELL BIAID AND I	CALLED FOR MEDICAL
THEY ARRIVED ALONG WITH	LT: MAYWALD
AND THE INMATE WAS	
OFFICER T. GOHNSON WAS	
	D WHO ALERTED ME
TO THE DOWN INMATE	FINKIE, CHARLES 7914.
To the best of my knowledge, the information hereb is complete and accurate.	y submitted in this witness statement
Name of Witness (print)	Rank/Social Security # or TDCJ Number
I flant	8-8-99
Signature of Witness	Date



# Texas Department Of Criminal Justice INTERNAL AFFAIRS DIVISION

VOLUNTARY STATEMENT OF Thomas A. Babacek  GIVEN THIS 9th DAY OF A yest 1999  at Obils o'clock A. M. My name is  My address and telephone number are po Box 2228, Tainity, Tx 75862  Ou Sunlay August & 1589, At appreximently 12 oc Noon  I went to 21A Row to escent the invastor to the  Chow hall. Upon assisting I entered the toll block and  Asked Officer Thomas to got the invastor out for chand  Officer Thomas to got the invastor out of  their cells I Renaved in the cell block to live the invastor  up for chew When Mr. Johnson seached 31410 cell invastor  of the me that he had an invastor down and needed medical  should up to the wheel hours on 32A row to come the document  Walked up to the wheel hours on 32A row to come the document  I chosted up to Officer Chowevert to call for emergency medical  Assistance. I went down to state cell, which was still behalf  Assistance. I went down to state cell for emergency medical  I wonted his ID bying went the cell document to make still behalf  I wotical his ID bying went the cell document to make the staff was on  Taking The ID to Officer Chowevert to contact the 22 invastor who  the way and the specceded to contact the 22 invastor who  were on the town. After medical grassound and LT Maywalk  Assived, I proceeded to contact the 22 invastor who  had  WITNESSES:  Person Making Statement:  Dennis Meywald, St.  Signature  Page 1 of 1 Pages	VOLUNTARY STATEMENT OF Thomas A. Babcock	
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	Vennes Majurald, St. Signature	
Page / of / Pages		
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# Texas Department Of Criminal Justice INTERNAL AFFAIRS DIVISION

VOLUNTARY STATEMENT OF MARY PAGE	459-14-433 6
GIVEN THIS 8 9 9 9 DAY OF  at 12 35 o'clock pm M. My name is MARY	19
at 12 35 o'clock 20 M. My name is WARY	PAGE,
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Signature	<i>U</i>
	Page of Pages

REPORT NUMBER:	FACILITY:
STANDARD WITNESS	
Provide a description of the incident that occurred in on (date) Aug, 8, 1999 that you witnessed.	(location) Row 3/14 The Walls anit
Make sure to include:  a. Time (or approximate time) the incide b. Name and TDCJ Number of each offe c. Name and rank of each TDCJ employ d. In as much detail as possible, explain	ender involved. ree involved.
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Name of Witness (print)	Rank/Social Security # or TDCJ Number
Camplelle recent	8-8-99
Signature of Witness	Date

REPORT NUMBER:	FACILITY:
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(Rupbell 1/AUS	SOCIAL SECURITY #/RANK OR TDCJ NUMBER
NAME OF OFFENDER/EMPLOYEE	SUCIAL SECURIT I #/RAINK OR IDG NOMBER
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REPORT N	UMBER:			FACILI	TY:		
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REPORT NUMBER:	FACILITY:
STANDARD WIT	NESS STATEMENT
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	· ·
To the best of my knowledge, the informat	tion hereby submitted in this witness statement
is complete and accurate.  Name of Witness (print)	Rank/Social Security # or PDCJ Number
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REPORT NUMBER:	FACILITY:
STANDARD WITNESS ST.	ATEMENT
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is complete and accurate.	
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1 any 1/27 most #803791.	8-8-99
Signature of Witness	Date
Signature of Witness	

### Texas Department of Criminal Justice INSTITUTIONAL DIVISION HUNTSVILLE UNIT

### Inter-Office Communication

To: Kenneth Dean

Captain

From: Douglas Odom Safety Officer I

Risk Management Coordinator

Date: August 10, 1999

Subject: Air Flow

Test 31A-10

Per your request, I have conducted an air flow test of offender cell housing 31A-10. The test was conducted at 1015am this date. The test instrument used was a hand held Alnor Velometer. The meter and conversion formula is accurate to + or - 10%.

Measurement @ bottom exhaust grate = 163 cubic feet per minute Measurement @ top exhaust grate = 55 cubic feet per minute Total exhaust air flow = 218 cubic feet per minute. (required exhaust rate per cell, as stated by Section VIII.B of the Stipulation; Ruiz vs. TDCJ, is 30 cubic feet per minute.)

The air exhaust rate for cell 31A-10 exceeds the minute requirement.

Douglas Odom 8/10/55
Occupational Harts
Board Occupational Health and Safety Technologist #1030

**Board Certified Forensic Examiner #1067** 

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	91. +	Texas Department of Criminal Justice INSTITUTIONAL DIVISION
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400	89°F	C.Alle~
<u>555</u>	88° F	C.Allen
800	890	T. Borker -1st (8-9-99)
1000	900	
200	910	T. Booker T. Booker
1400	910	Jones - 2nd
1600	930	Mons
1700	930	J-onla
1800	93	Yorla
1900	930	York
-		
SO-4		Plaintiffs' MSJ Appx. 3094 TDCJ 80722 ————
30-4		

## Texas Department of Criminal Justice INSTITUTIONAL DIVISION

## **Inter-Office Communications**

Date \_08-08-97

To 31A Row

From	Capt Dean	Subject Temperature Check
Time	Temperature	Officer Signature
1900	92° F	J'ans
2100	910=	Yona
2200	91°F	C. Allen (Both doors were open when I
		came on shift at 10:00 f.m. Per SGT. Blake he
		came on shift at 10:00 f.m. Per SGT. Blake he said close cell dow and leave ansteel dow open con
<u> </u>	90°F	C.Allen
7200	90°F	CiAllen
<u> </u>	890F	C. Allen
555	88° F	C.Alles
1800	890	T. Borker -15t (8-9-99)
1000	900	T. Bouker
200	910	T. Booken
1400	910	Aones - 2nd
1600	930	Yone
1700	930	Jones .
1800	93	Mondo
1900	930	Gonla
SO-4		Plaintiffs' MSJ Appx. 3095 TDCJ 80723

Offender Deuch

I - 8587-08-99

EMERGENCY ACTION CENTER RECEIVED 1258 DATE 8/8/99 UNIT 11/1 REC. BY 2015/9016 REFERENCE INCIDENT # \_\_\_\_\_ CUSTODY CODE

AAA.	*****	*****	жw.	$\lambda \wedge \lambda$	k k k	A & v	<b>∀</b> ₩ ₩	W.A	A A	v Av V	VŴν	\. \. \. \. \. \. \. \. \. \. \. \. \. \	\-\\-\-\-\-\-\	4444	жж:	A.A.	ΑW	W A A	₩₩:	k k k	4	ww.	A.V.	7 W W	sk sk s	447	V A
AAA	REQUESTOR:	HQEACO1	** **	E	iCZU	SE	()F	F	06	RCE	:			EME	RO	EM	CY	Δŧ	37	I O N	CE.	NT.	ER			ź	k s
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tāj tāj tāj		S	Υ	9	M	I	N	$\mathbb{B}$	A	8	K	E	.4.	P	R	J.	M	T								3	k A

MESSAGE ID: 693943 DATE: 08/08/99 TIME: 18:19 PRIORITY: 000

TO:

HQEACO1 - EAC/USE OF FORCE

GENERAL TERMINAL

EMERGENCY ACTION CENTER

FROM:

KDE6234 - DEAN, KENNETH

CAPTAIN

HUNTSVILLE UNIT

SUBJECT: OFFENDER DEATH

W/m/38/ml

CONTIDENTAL

ON AUGUST 8, 1999 AT APPROXIMATELY 11:45 A.M. OFFENDER FINKE, CHARLES EDWARD JR. \$791418 WAS DISCOVERED IN FIVE BLDG. (31A10) UNRESPONSIVE. MEDICAL PERSONNEL AND SUPERVISORS WERE CALLED TO THE LOCATION OF THE OFFENDER. UPON ARRIVAL IT WAS DISCOVERED THAT OFFENDER FINKE DID NOT HAVE A PULSE AND WAS NOT BREATHING. MS. PAGE, R.N., INSTRUCTED OFFICERS TO REMOVE THE OFFENDER FROM HIS BUNK AND PLACE HIM ON A BACKBOARD SO THAT CPR COULD BE INITIATED. MS. PAGE STARTED CPR AND THE OFFENDER WAS TAKEN TO IME HUI VIA GURNEY AS SOON AS IT WAS PRATICAL. THE OFFENDER ARRIVED IN THE INFIRMARY AT APPROXIMATELY 12:15 P.M. AND WALKER CO EMS WAS CONTACTED. UPON THE ARRIVAL OF EMS THEY BEGAN ASSISTING THE NURSING STAFF WITH CPR ON THE OFFENDER. THE CPR ON THE OFFENDER WAS CEASED AT APPROXIMATELY 12:35 P.M. WHEN DR. CAROLYN GALLOWAY PRONOUNCED THE TIME OF DEATH. THE OFFICIAL TIME OF DEATH WAS THE PRELIMINARY CAUSE OF DEATH COULD NOT BE DETERMINED.



AN AUTOPSY IS PENDING THE EXACT CAUSE OF DEATH, JUDGE AMY BRADLEY ARRIVED ON THE UNIT AT APPROXIMATELY 1:15 P.M. AND PERFORMED THE INQUEST ON THE OFFENDER. IAD, MARK COLE, ARRIVED AT APPROXIMATELY 1:20 P.M. AND PERFORMED HIS DUTIES. ONCE THE INVESTIGATIONS WERE COMPLETED BY THE JUDGE AND IAD THE HUNTSVILLE FUNERAL HOME WAS COMPLETED AND THE HUNTSVILLE FUNERAL HOME DEPARTED THE UNIT AT APPROXIMATELY 3:10 P.M. WITH THE OFFENDER'S BODY. THE OFFENDER'S FATHER, MR. CHARLES FINKE, WAS NOTIFIED BY CHAPLAIN HOUSTON AT APPROXIMATELY 5:20 P.M. OF THE OFFENDER'S DEATH. THE FINAL DISPOSITION OF THE BODY HAS NOT BE DETERMINED AT THIS TIME.

Sent to:	HQEACO1	EAC/USE OF FORCE	(to)
	J680110	GRIGGS, JANNA	(1:0)
	JW17358	WILLETT, JIM W.	(40)
	TMES412	NEW, TIMOTHY J.	(10)
	JWAZ159	WARNER, JULIE	( t.e.)
	LBR3466	BRILEY, LINDA	(1.0)

8551

### UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

STEPHEN McCOLLUM, and SANDRA	§	
McCOLLUM, individually, and STEPHANIE	§	
KINGREY, individually and as independent	§	
administrator of the Estate of LARRY GENE	§	
McCOLLUM,	§	
PLAINTIFFS	§	
	§	
V.	§	CIVIL ACTION NO.
	§	4:14-cv-3253
	§	JURY DEMAND
BRAD LIVINGSTON, JEFF PRINGLE,	§	
RICHARD CLARK, KAREN TATE,		
SANDREA SANDERS, ROBERT EASON, the	§	
UNIVERSITY OF TEXAS MEDICAL	§	
BRANCH and the TEXAS DEPARTMENT OF	§	
CRIMINAL JUSTICE.	§	
DEFENDANTS	§	

Plaintiffs' Consolidated Summary Judgment Response Appendix

## **EXHIBIT 185**

#### TEXAS DEPARTMENT OF CRIMINAL JUSTICE

P.O. Box 99 • Huntsville, TX 77342-0099

Wayne Scott

Gary Johnson Director Institutional Division

Administrative Incident Review

Incident Number: 1-05831-07-01

TEXAS DEPARTMENT OF CRIMINAL JUSTICE JAMES V. ALLRED UNIT July 27, 2001

TO:

Ms. Janie Cockrell

Deputy Director of Operations

THRU:

FROM:

Mr. John Gilbert Region V Director

Mr. Robert R. Treon, Senior Warden

James V. Allred Unit

SUBJECT: Heat Stroke

I-05831-07-01

#### PERSONS

INVOLVED: Offender Cardwell, John #1041651 is a thirty-nine year old White male who is currently serving a nine year

sentence for DWI out of Williamson County

SUMMARY: On 07-16-01 at approximately 1820 hours, Offender Cardwell, John TDCJ# 10441651 was transported via ambulance to the 11th Street Campus of United Regional Hospital due to an elevated temperature. Upon arrival, Offender Cardwell was listed in critical condition. Senior Warden Robert Treon arrived at the hospital at approximately 1900 hours and was advised Offender Cardwell was suffering from elevated body temperature as well as cardiac and liver problems. At that time, no clear diagnosis for Offender Cardwell's condition was available. On 07-18-01 at approximately 1100 hours, the attending physician at United Regional Hospital informed Warden Treon Offender Cardwell's condition was the result of heat stroke. At

that time, EAC was notified of the situation and an incident number was assigned.

An ongoing investigation into the incident shows Offender Cardwell arrived at the Allred Unit on 07-16-01 at approximately 0830 hours on a routine chain transport. All offenders arriving on the chain were held in the chain receiving area between Ten and Twelve buildings. At approximately 0930 hours, Offender Cardwell and the rest of the chain were escorted to Seven gym for their initial UCC hearings. At approximately 1045 hours all chain offenders were escorted to the chow hall and fed the noon meal. They were then taken to supply where they picked up their mattresses enroute to the Three building multipurpose room where they were held until they were housed at around 1530 hours. Offender Cardwell was escorted to Seven Building G Pod. Up to this point Offender Cardwell had not complained of any problems and appeared to be normal. However, after arriving in 7G Pod, Offender Cardwell stepped into a shower stall and began to act somewhat irrationally. According to officers and offenders accounts of the incident Offender Cardwell appeared to be frightened and concerned about being in General Population. The rover assigned to 7G Pod placed Offender

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Cardwell in his cell at which time she noted his behavior was growing more erratic and that he was hot to the touch. The officer requested to see a ranking supervisor regarding Offender Cardwell. Prior to the arrival of a supervisor, Offender Cardwell was found unresponsive in his cell. Medical staff was notified and Offender Cardwell was transported to the unit infirmary

An examination of the Three Building multipurpose room revealed the windows were open for ventilation and air was being circulated. There was also water available. All officers involved had received training regarding heat related illness in spring of this year. Offender Cardwell currently remains in critical condition. Investigation into the incident is ongoing.

**EMPLOYEE** 

NEGLIGENCE: Under investigation.

ATTACHMENTS: TGN-93, Teletype, IOC's, Heat-related training records

WARDEN'S COMMENTS:

REGIONAL DIRECTOR'S COMMENTS:

ROBERT R. TREON, SENIOR WARDEN JAMES V. ALLRED UNIT TEXAS DEPARTMENT OF CRIMINAL JUSTICE INSTITUTIONAL DIVISION 7.27.0

DATE

MR. JOHN GILBERT TEXAS DEPARTMENT OF CRIMINAL JUSTICE INSTITUTIONAL DIVISION DATE

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